

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Onozawa et al. Examiner: Jorge L. Ortiz Criado
Serial No.: 09/867,498 Group Art Unit: 2655
Filed: May 30, 2001 Docket: 10873.736US01
Confirmation No.: 9056 Due Date: June 14, 2004
Customer No.: 23552
Title: Optical Pick-Up and Information Recording and Reproducing Apparatus

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 407259970 US

Date of Deposit: June 14, 2004

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Teresa Anderson
Name: Teresa Anderson

Mail Stop RCE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing.
- ☒ Request for Extension of Time for two months and fee of \$420.00.
- ☒ Request For Continued Examination and fee of \$770.00.
- ☒ Amendment responding to Final Office Action mailed January 12, 2004.
- ☒ Information Disclosure Statement, Form 1449, 5 References.
- ☒ Other: Copy of European Search Report.
- ☒ Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Douglas P. Mueller
Name: Douglas P. Mueller
Reg. No.: 30,300
DPM/RAK:pll

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Technology Center 2600



S/N 09/867,498

PATENT

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Applicant:	Onozawa et al.	Examiner:	Jorge L. Ortiz Criado
Serial No.:	09/867,498	Group Art Unit:	2655
Filed:	May 30, 2001	Docket No.:	10873.736US01
Customer No.	23552	Confirmation No.:	9056
Title:	Optical Pick-Up and Information Recording and Reproducing Apparatus		

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By:

Name: Teresa Anderson

Teresa Anderson

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62307
OK

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2600

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a European Search Report mailed May 28, 2004.

This statement should be considered because it is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

Certification Under 37 C.F.R. § 1.704(d)

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the European Search Report. Applicant(s) note(s) reference(s) US 5,323,378 and US 6,366,548 also listed in the search report was/were previously cited to or by the Examiner.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

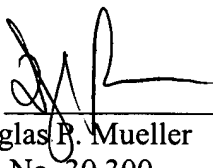
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
(612) 332-5300

Dated: June 14, 2004

By: 

Douglas R. Mueller
Reg. No. 30,300
DPM/RAK:pll